



# **FFY 2006 ALASKA FOOD STAMP PROGRAM REPORT**

**Division Of Public Assistance  
Program Integrity and Analysis Section**

**Research Unit  
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## QUALITY CONTROL BACKGROUND

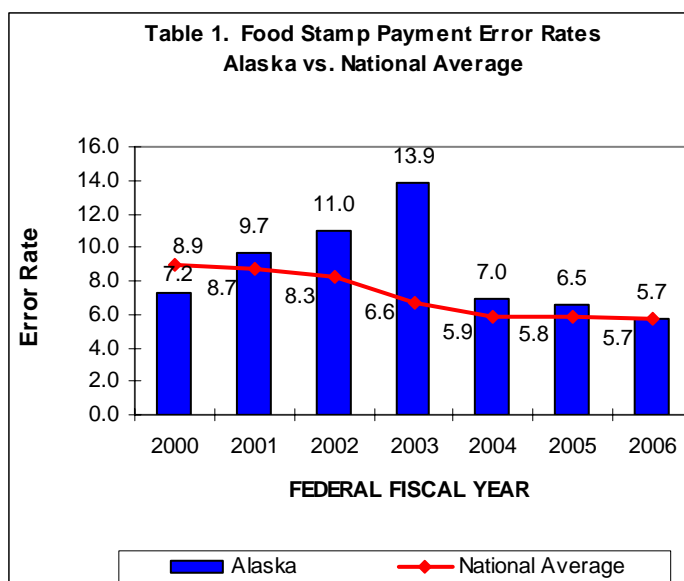
Food Stamp Program benefits are fully funded by the U.S. Department of Agriculture, and Alaska shares the cost of operating the program. The Alaska Department of Health and Social Services, Division of Public Assistance (DPA) delivers these program services statewide. In federal fiscal year (FFY) 2006, which covers October 2005 through September 2006, Alaska's Food Stamp Program issued over \$86 million in food stamp benefits. The program helped a monthly average of nearly 59,000 persons in over 21,000 households.

The Food Stamp Program requires precise measurement of work quality. Monthly audits of sampled cases by the division's quality assessment staff determines if the correct benefit amount is issued to participating households. The state-determined payment error rate is calculated by dividing the total benefits issued in error by the total benefits issued.

These findings result in an annual payment error rate for each state. Each state's error rate collectively determines the national food stamp payment error rate. States with an error rate above the national average may be subject to financial penalties.

The quality control payment error rate includes overpayments, where a household receives more benefits than it should, and underpayments where the amount issued is too low. Payment errors are typically caused by the state agency miscalculating the monthly benefit amount or participants not accurately reporting household circumstances and earnings.

Table 1 summarizes Alaska's food stamp payment error rate for federal fiscal years 2000 to 2006 compared to the



national average. Alaska data for FFY 2006 are state findings and the national average is an estimate.

## ACTIVE AND NEGATIVE FOOD STAMP SAMPLE

The annual quality control sampling plan requires monthly review of the accuracy of our eligibility and benefit decisions on open food stamp cases. These reviews are called the "active" sample.

Eligibility decisions to deny food stamp applications or close/suspend an open case are also reviewed. These reviews comprise the "negative" sample.

The Quality Assessment unit randomly samples active and negative food stamp cases to ensure statistical precision. The USDA, Food and Nutrition Service (FNS) must approve the Alaska's Food Stamp Program quality control sampling plan each year.

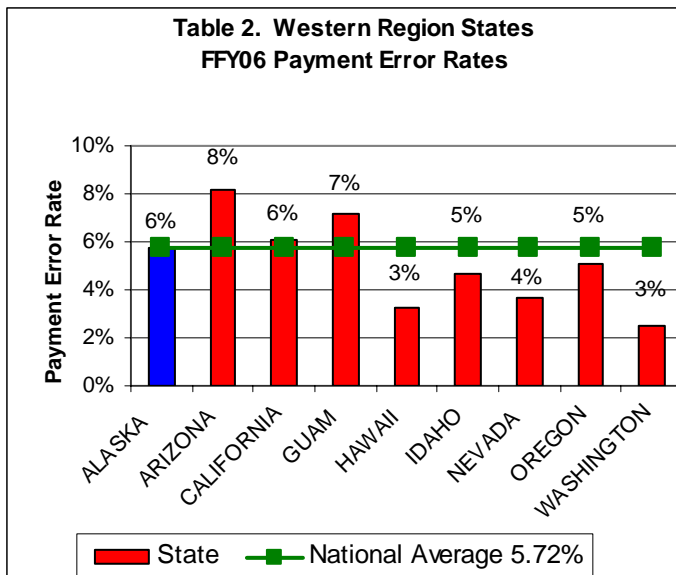
Quality control data is not statistically valid until the completion of the full sample in September, the end of the federal fiscal year. Interim data compiled during the reporting period identifies error trends and monitors performance and corrective action initiatives.

The Quality Assessment Review Committee (QARC) is the primary statewide forum for discussing food stamp errors, trends, and action steps for improvement in both open and closed cases. The greatest impact is in improved communication, identifying training needs, clarifying policies, and automation enhancements needed to improve operating systems. The state's error rate was reduced by about two percentage points because cases are reviewed by the QARC.

Alaska submits a Corrective Action Plan to FNS each May and November outlining corrective actions implemented to reduce the payment error rate. The Corrective Action Plan is an overview of Food Stamp errors and the actions planned to remedy such errors and improve work quality.

## ALASKA'S PAYMENT ERROR RATE

Alaska's state-estimated payment error rate for FFY 2006 was 5.74 percent. Table 2 on page 4 compares Alaska's payment error rate to the other states and Guam in the Western FNS region. The error rates are state estimated error rates for the Federal Fiscal Year 2006. The estimated national error rate is 5.72 percent. The weighted and regressed error rates will be released by FNS in June 2007.



## ERROR REDUCTION STATUS

Alaska's final Food Stamp Program payment error rate for FFY 2003 was 13.9 percent - the highest in the nation. The division's "Better than Average" accuracy improvement campaign began on October 1, 2003, with an ambitious goal of achieving a payment error rate of less than 7 percent by September 2004. The division achieved the goal with an error rate of 6.96 percent which was the best improvement by any state and Alaska received a performance bonus. Work quality focus by the DPA staff, the "Better than Average" campaign, the institution of a Quality Assessment Review Committee to examine each error's cause, and progressive policy changes like semi-annual reporting all contributed to reduce the error rate.

The goal for the FFY 2005 and 2006 "Better than Average" accuracy improvement campaigns was set at 6 percent. The years ended with a state recorded error rate of 6.17 percent and 5.74 Percent.

With the campaign target met in FFY 2006, the new campaign for FFY 2007 is "Give Me Five!" aiming for a 5 percent error rate or less and a spot in the top 20 national ranking.

### Federal Variances

In FFY 2006 the Food and Nutrition Service re-reviewed 48 percent of the active cases and 37 percent of the negative cases completed in the state's quality assessment sample. If FNS detects errors made in the state's review, a variance is cited. Federal re-review findings are factored into the state's final regressed Food Stamp Program payment error rate and can significantly change the final

error rate calculation from that determined initially by the state. In FFY 2006, variances were cited in one active case and two negative cases.

In addition, a completion rate adjustment can increase the payment error rate if less than 98 percent of the reviewable cases are completed. After subtracting the Not Subject to Review (NSTR) cases from the sample, if more than 2 percent of the remaining cases are Not Completed, then an adjustment is made. In FFY 2006 the Not Completed rate improved from 5.7 percent in FFY 2005 to 5.1 percent; however, still exceeds 2 percent. In FFY 2005 the completion rate adjustment increased the payment error rate by less than 0.2 percent.

In the FFY 2005 sample, Alaska received FNS adjustments that added 0.34 percent to our state-determined error rate for a final regressed rate of 6.51 percent also known as the Combined Payment Error Rate (CPEP).

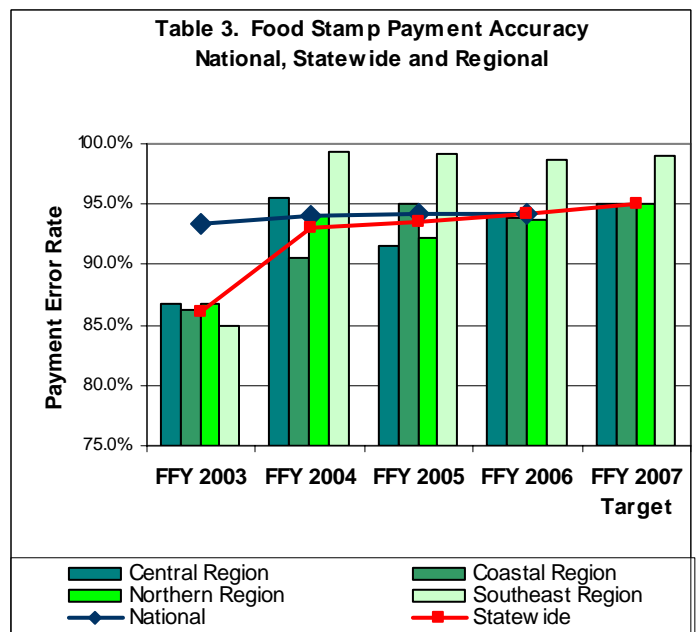
Alaska's final federal regressed error rate figures, CPEP, and the national regressed rate for FFY 2006 will be announced in June 2007.

**Appendix I** summarizes the FFY 2006 active food stamp cases by month and by DPA district office.

**Appendix II** includes the "Synopsis of FFY 2006 Food Stamp Errors". The synopsis is a case profile plus a brief description of all errors discovered on a case.

## ALASKA REGIONAL FINDINGS

Table 3 shows quality assessment findings of payment



accuracy rates on open cases. The chart compares Alaska statewide, the Division's four operational regions and

national accuracy rates for federal fiscal years 2003 through 2006. The chart includes the target accuracy rates for FFY 2007.

In reviewing payment accuracy for FFY 2006, the Southeast Region exceeded the national average of 94.3 percent as well as the statewide average which is also 94.3 percent with a 98.7 percent payment accuracy. The Central, Coastal, and Northern Regions were just slightly lower than the national average at 94.1, 93.9, and 93.7 percent respectively.

The Coastal Region has a significant impact on the statewide accuracy rate because their food stamp issuance represents 47 percent of the total sample benefit dollars also called allotments. The Central Region accounts for 31 percent of the total, Northern Region 15 percent and Southeast Region 7 percent.

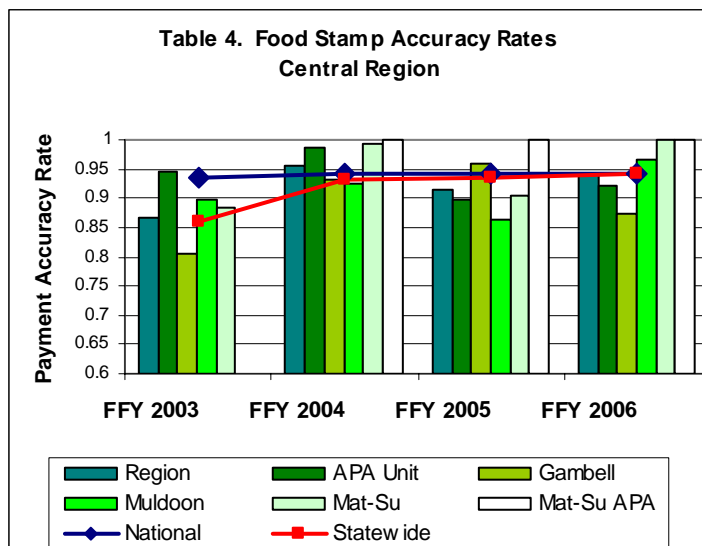
The state determined payment accuracy rate for FFY 2006, 94.3 percent, is a 0.5 percent improvement over the state determined rate for FFY 2005. The target payment accuracy rate for FFY 2007 has been set at 95 percent.

## DISTRICT OFFICE PAYMENT ACCURACY

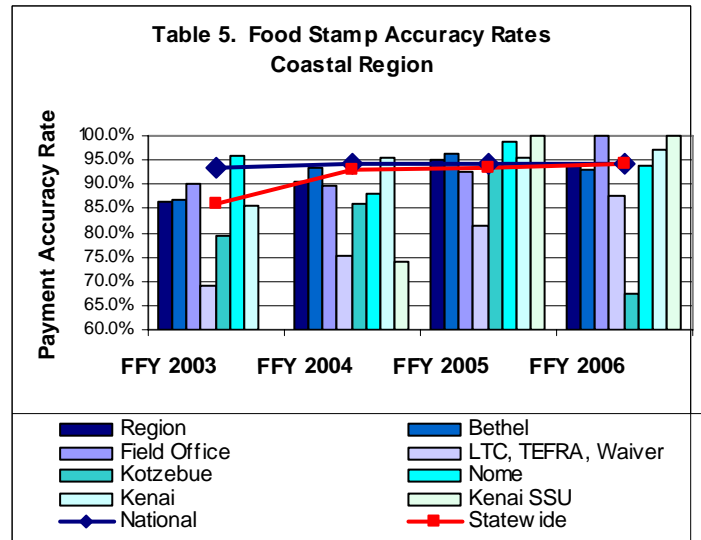
The payment accuracy rate is derived from the payment error rate. The payment error rate equals the total dollar amount in error divided by the total allotment. To find an accuracy rate, subtract the payment error rate from 100 percent.

Table 4 through Table 7 show payment accuracy rates of the nation, state, and district offices for FFY 2003 through 2006. The nationwide accuracy rate is a dark blue line, the statewide rate is a red line, and the bar charts represent the district offices year by year performance.

Payment accuracy in the Central Region increased from 91.6 percent in FFY 2005 to 94.1 percent in FFY 2006. The

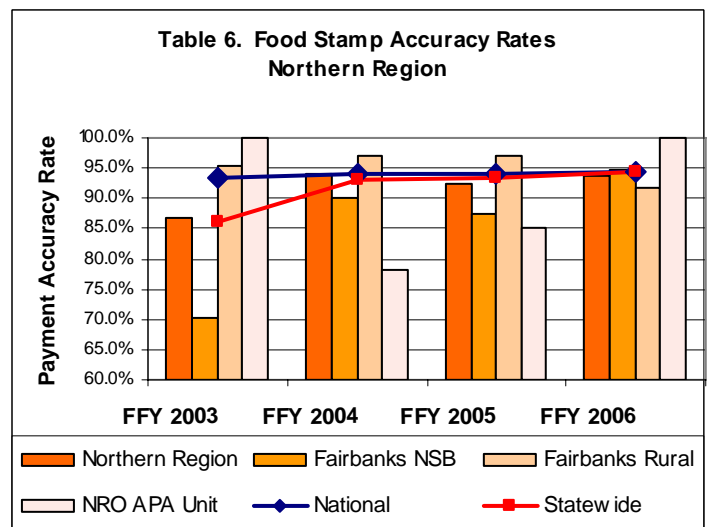


Muldoon, Mat-Su, and Mat-Su APA district offices exceeded the national and statewide averages. In the FFY 2006 sample, the Gambell office processed 34 percent of the Central Region allotments and 11 percent of statewide issuance. The Mat-Su office issued



28 percent of Central Region and 9 percent of statewide allotments. Together, these two district offices process over a fifth of all benefits issued.

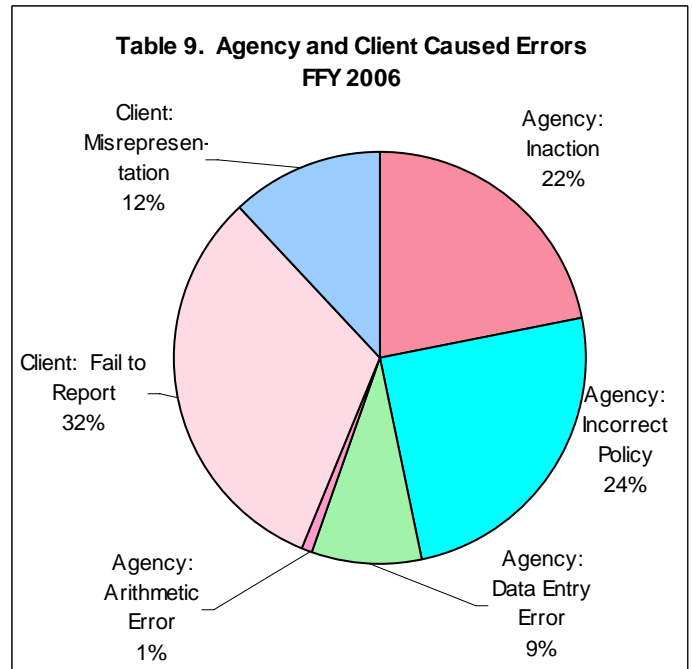
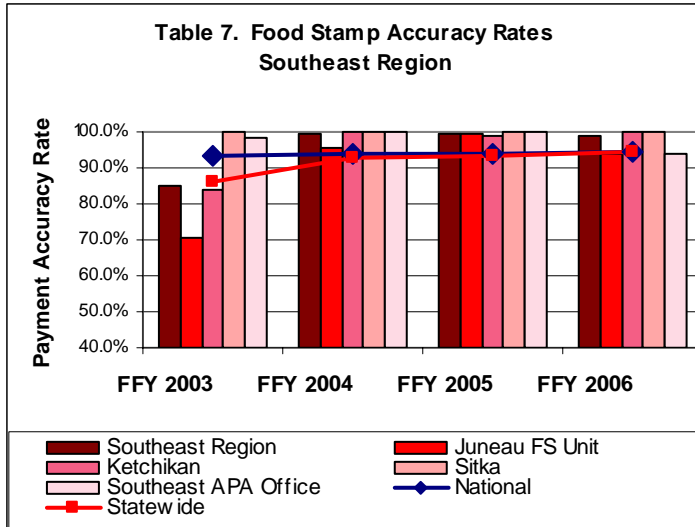
The Coastal Region payment accuracy went from 95.0 percent in FFY 2005 to 93.9 percent in FFY 2006. The Field Office, Kenai, and Kenai SSU district offices exceeded the national and statewide averages. The Bethel office worked nearly half, 47 percent, of the Coastal Region total sample allotments and 22 percent of statewide allotments issued. This office consistently works cases with larger allotments than other offices in the state due to larger house-



holds in this rural area. FNS rules allow rural households in Alaska to receive increased allotments.

The Northern Region payment accuracy increased from 92.3 to 93.7 percent. The Fairbanks NSB and NRO APA Unit offices exceeded the national and state accuracy rates. The Fairbanks Rural office processed 51 percent of the

In FFY 2006, client caused dollars in error dropped to 56 percent as shown in table 9.



Northern Region allotments and 7 percent statewide sample issuance.

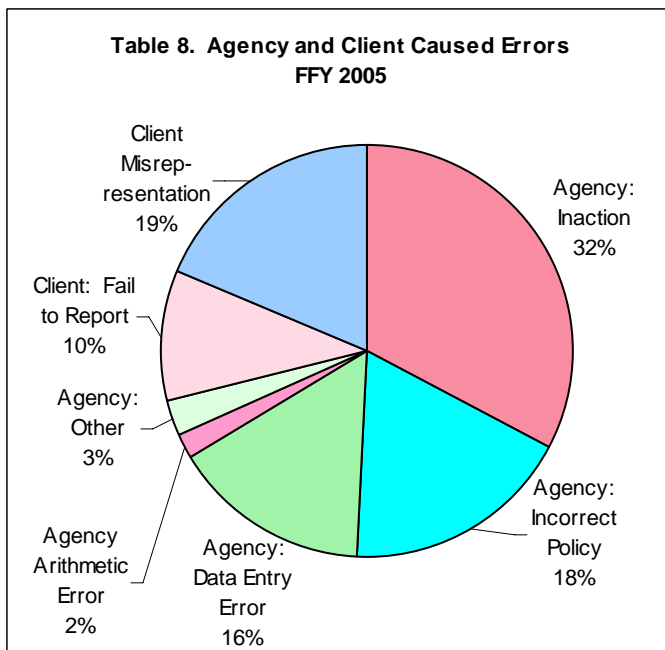
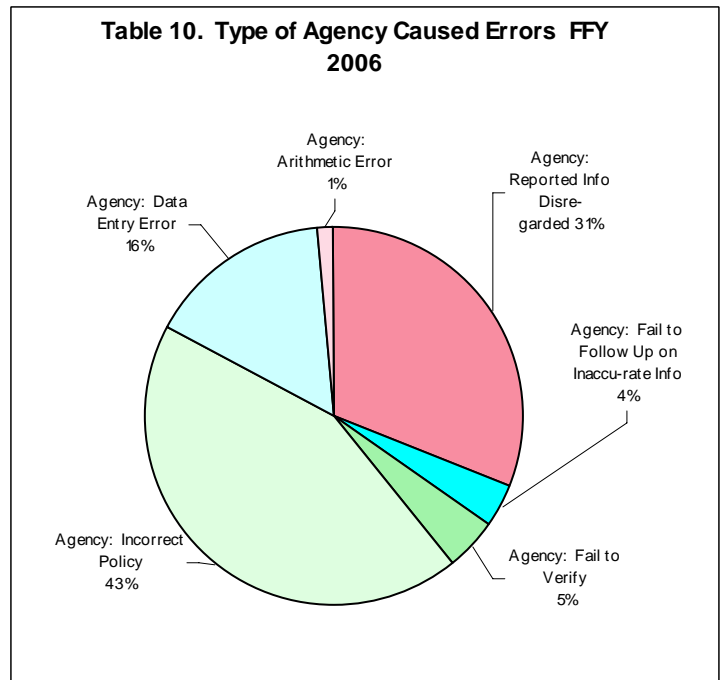
The Southeast Region had the highest accuracy rate of the four regions at 98.7 percent in FFY 2006, a decrease from the 99.2 percent in FFY 2005. The Ketchikan office represents 62 percent of the Southeast Region allotment and 4 percent statewide.

**AGENCY ERRORS BY CAUSE AND ELEMENT**

Table 10 shows a comparison of just the agency caused error dollars with Agency Inaction broken down into three components: reported information

**ERRORS CAUSED BY AGENCY AND CLIENT**

Table 8 shows client and agency errors in FFY 2005. Agency caused errors comprised 71 percent of the total dollars in error.



disregarded, failure to follow up on inaccurate information, and failure to verify required information. The two causes of errors that resulted in 74 percent of the

errors in terms of dollars were when the agency disregarded information that was reported by the client or information that became known through some other source, and when the agency used the wrong policy or incorrectly applied the policy.

In the FFY 2006 Food Stamp active sample, \$7,264 were paid in error. Of this amount, \$4,082 involved cases with agency or a combination of agency and client-caused errors, with the remaining \$3,182 being client caused.

Table 11 identifies agency caused errors by error element in the order of the total dollars in error, and the percentage of total agency errors attributed to each element.

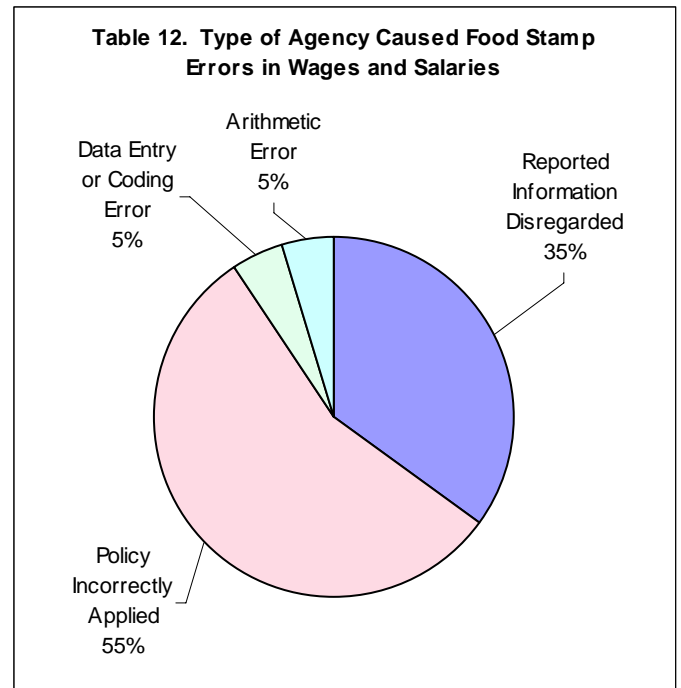
Wages and salaries errors represent nearly a third of all agency caused dollars in error, and are always the most common.

Table 12 shows a breakdown of the wages and salaries dollars in error by the type of agency error. A data entry error on one case represents 5 percent of the wages and salaries errors, and an arithmetic error on one case represents 5 percent. Three cases in which reported information was disregarded accounted for 35 percent of the errors. The most cases, four, and the highest number of dollars in error, \$731, within the wages and salaries category of error were caused by the agency incorrectly applying or using the wrong policy.

For perspective, in FFY 2006 the agency caused wages and salaries errors represented 1.0 percent of the 5.7 percent payment error rate. In FFY 2005 the agency caused wages and salaries errors accounted for 2.1 percent of the 6.2 percent state determined payment error rate. While wages and salaries continue to be the most common and costly agency error, the FFY 2006 payment error rate for this category shows more than a full percentage point improvement.

**Table 11. FFY06 Agency Caused Errors by Element Code**

Error Element and Description	Number of Errors	Dollars in Error	% Error \$ to \$4,082
311 Wages and Salaries	9	\$1,321	32%
150 Household Composition	3	\$591	14%
560 Reporting System	1	\$509	12%
346 Other Unearned Income	5	\$279	7%
344 TANF, PA, or GA	2	\$244	6%
334 Unemployment Compensation	2	\$235	6%
331 RSDI Benefits	3	\$211	5%
363 Shelter Deduction	3	\$204	5%
350 Child Support Received	2	\$109	3%
314 Other Earned Income	1	\$108	3%
312 Self-Employment	1	\$82	2%
170 Social Security Number	1	\$73	2%
336 Other Government Benefits	1	\$54	1%
520 Arithmetic Computation	1	\$36	1%
333 SSI and/or State SSI Supplement	1	\$26	1%
<b>Total</b>	<b>36</b>	<b>\$4,082</b>	<b>100%</b>

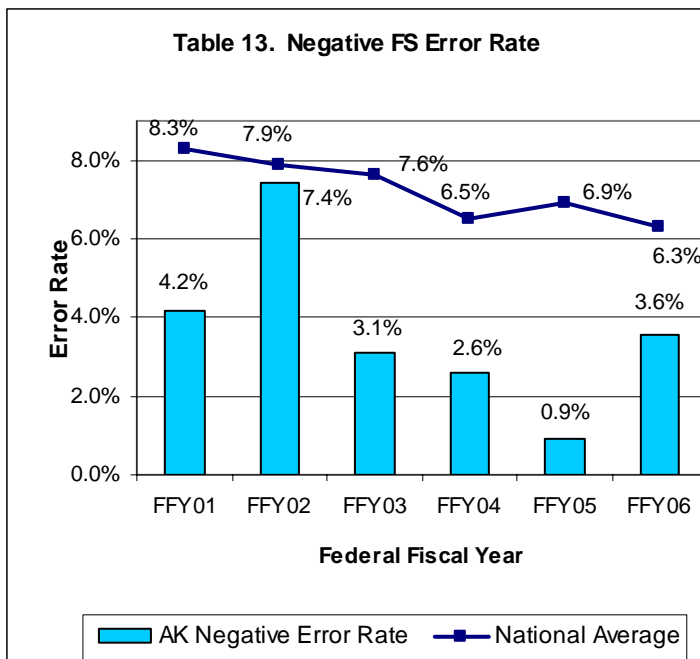


## CLOSED AND DENIED FOOD STAMP CASES

Quality Assessment reviewers completed 309 of the 333 negative Food Stamp sample reviews. Of the 309 completed reviews, 11 had errors. The statewide negative error rate is 3.6 percent which ranks Alaska twenty fifth among states. The national average negative case error rate was 6.3 percent.

The Negative Error Rate is a case error rate measurement. It is not based on miscalculated benefits like the active sample. Rather, it is a simple percentage of the number of negative sample cases found in error divided by the total number of completed sample cases.

Table 13 shows negative error rates from FFY 2001

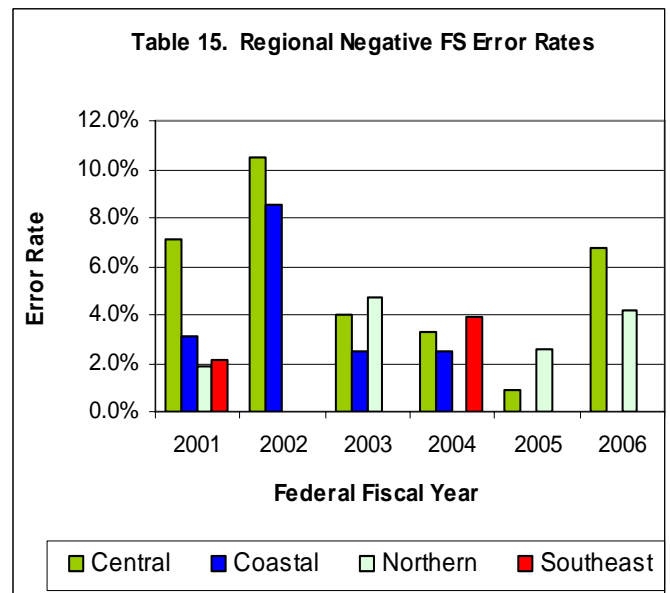
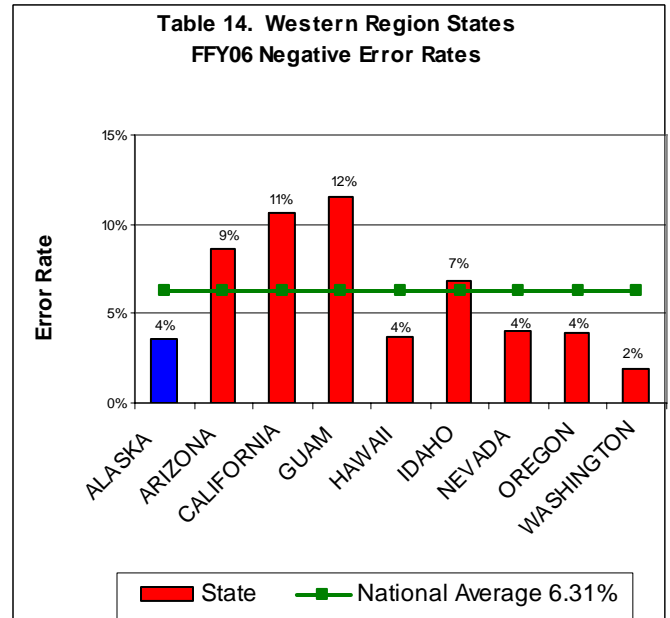


through 2006. Alaska's negative error rate is consistently below the national average.

Table 14 compares Alaska's negative error rate to the other states and Guam in the FNS Western Region.

Table 15 displays the negative food stamp error rates by region from FFY 2001 through 2006. The Coastal and Southeast regions have had no error cases in the past two federal fiscal years.

**Appendix IV** summarizes the FFY 2006 Food Stamp Program negative sample findings in tables by month and by district office and region.





# Appendix I

## Food Stamp Open Report by Month

### QUALITY ASSESSMENT SAMPLE FOOD STAMP ACCURACY OPEN CASES

FFY 2006  
FINAL

BY MONTH	Oct-05	Nov-05	Dec-05	Jan-06	Feb-06	Mar-06	Apr-06	May-06	Jun-06	Jul-06	Aug-06	Sep-06	Total
<b>STATISTICS BY CASE:</b>													
Sample Cases Selected	28	34	36	36	38	38	38	38	37	36	36	36	431
Cases Assigned to Reviewers	28	34	36	36	38	38	38	38	37	36	36	36	431
Cases Pending	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Cases Completed	25	32	32	34	37	34	29	30	32	35	33	33	386
Cases Not Subject to Review	1	1	2	1	1	0	7	4	2	1	1	0	21
Cases Not Completed	2	1	2	1	0	4	2	4	3	0	2	3	24
Total Cases Completed	25	32	32	34	37	34	29	30	32	35	33	33	386
Total Correct Cases	22	28	26	31	33	29	25	27	28	31	31	30	341
Total Error Cases	3	4	6	3	4	5	4	3	4	4	2	3	45
Total Ineligible Cases	2	2	0	0	0	0	2	1	0	0	1	2	10
Total Over Paid Cases	1	2	4	1	3	4	1	2	3	2	1	1	25
Total Under Paid Cases	0	0	2	2	1	1	1	0	1	2	0	0	10
Case Accuracy Rate (%)	88.00	87.50	81.25	91.18	89.19	85.29	86.21	90.00	87.50	88.57	93.94	90.91	88.34%
Case Error Rate (%)	12.00	12.50	18.75	8.82	10.81	14.71	13.79	10.00	12.50	11.43	6.06	9.09	11.66%
<b>STATISTICS BY DOLLAR AMOUNTS:</b>													
Total Allotment Issued (\$)	\$7,141	\$10,529	\$11,089	\$11,998	\$11,683	\$11,981	\$11,150	\$9,992	\$9,908	\$11,316	\$11,121	\$8,725	\$126,633
Total Error Payments (\$)	\$507	\$1,717	\$484	\$216	\$483	\$486	\$1,135	\$447	\$250	\$391	\$240	\$908	\$7,264
Total Ineligible Payments (\$)	\$446	\$1,141	\$0	\$0	\$0	\$0	\$1,036	\$199	\$0	\$0	\$167	\$831	\$3,820
Total Over Paid Payments (\$)	\$61	\$576	\$422	\$49	\$316	\$457	\$45	\$248	\$220	\$221	\$73	\$77	\$2,765
Total Under Paid Payments (\$)	\$0	\$0	\$62	\$167	\$167	\$29	\$54	\$0	\$30	\$170	\$0	\$0	\$679
Payment Accuracy Rate (%)	92.90%	83.69%	95.64%	98.20%	95.87%	95.94%	89.82%	95.53%	97.48%	96.54%	97.84%	89.59%	94.26%
Payment Error Rate (%)	7.10%	16.31%	4.36%	1.80%	4.13%	4.06%	10.18%	4.47%	2.52%	3.46%	2.16%	10.41%	5.74%
Ineligible Error Rate (%)	6.25%	10.84%	0.00%	0.00%	0.00%	0.00%	9.29%	1.99%	0.00%	0.00%	1.50%	9.52%	3.02%
Over Paid Error Rate (%)	0.85%	5.47%	3.81%	0.41%	2.70%	3.81%	0.40%	2.48%	2.22%	1.95%	0.66%	0.88%	2.18%
Under Paid Error Rate (%)	0.00%	0.00%	0.56%	1.39%	1.43%	0.24%	0.48%	0.00%	0.30%	1.50%	0.00%	0.00%	0.54%
Agency Caused Errors (%)	100%	75%	83%	100%	100%	100%	50%	67%	75%	100%	50%	33%	80%
Client Caused Errors (%)	0%	25%	17%	0%	0%	0%	50%	33%	25%	0%	50%	67%	20%

Food Stamp Open Report by District Office

**QUALITY ASSESSMENT SAMPLE  
FOOD STAMP ACCURACY  
OPEN CASES**

FFY 2006  
FINAL

District Office		Case Accuracy					Payment Accuracy			
DO#		Correct Cases	Error Cases	Total Cases	Accuracy Rate	Error Rate	Total Errors	Total Allotments	Accuracy Rate	Error Rate
Jnu Family Support Unit	21	4	1	5	80.0%	20.0%	\$80	\$1,287	93.8%	6.2%
Ketchikan	23	22	0	22	100.0%	0.0%	\$0	\$5,560	100.0%	0.0%
Sitka	22	7	0	7	100.0%	0.0%	\$0	\$1,516	100.0%	0.0%
Southeast APA Office	20	8	1	9	88.9%	11.1%	\$36	\$610	94.1%	5.9%
<b>Southeast Region Total</b>		<b>41</b>	<b>2</b>	<b>43</b>	<b>95.3%</b>	<b>4.7%</b>	<b>\$116</b>	<b>\$8,973</b>	<b>98.7%</b>	<b>1.3%</b>
Fairbanks NSB	41	18	4	22	81.8%	18.2%	\$386	\$7,291	94.7%	5.3%
Fairbanks Rural	44	18	2	20	90.0%	10.0%	\$786	\$9,477	91.7%	8.3%
NRO APA Unit	43	11	0	11	100.0%	0.0%	\$0	\$1,817	100.0%	0.0%
<b>Northern Region Total</b>		<b>47</b>	<b>6</b>	<b>53</b>	<b>88.7%</b>	<b>11.3%</b>	<b>\$1,172</b>	<b>\$18,585</b>	<b>93.7%</b>	<b>6.3%</b>
Bethel	51	22	10	32	68.8%	31.3%	\$2,032	\$28,035	92.8%	7.2%
Coastal SSU	55	2	0	2	100.0%	0.0%	\$0	\$472	100.0%	0.0%
Field Office	80 & 82	19	0	19	100.0%	0.0%	\$0	\$10,429	100.0%	0.0%
LTC, TEFRA, Waiver	70	8	3	11	72.7%	27.3%	\$323	\$2,616	87.7%	12.3%
Kenai SSU	75	1	0	1	100.0%	0.0%	\$0	\$444	100.0%	0.0%
Kenai	76	23	2	25	92.0%	8.0%	\$193	\$6,764	97.1%	2.9%
Kotzebue	47	1	1	2	50.0%	50.0%	\$509	\$1,562	67.4%	32.6%
Nome	46	11	5	16	68.8%	31.3%	\$609	\$9,602	93.7%	6.3%
<b>Coastal Region Total</b>		<b>87</b>	<b>21</b>	<b>108</b>	<b>80.6%</b>	<b>19.4%</b>	<b>\$3,666</b>	<b>\$59,924</b>	<b>93.9%</b>	<b>6.1%</b>
APA Unit	71	41	3	44	93.2%	6.8%	\$311	\$3,939	92.1%	7.9%
Gambell	83	45	9	54	83.3%	16.7%	\$1,691	\$13,461	87.4%	12.6%
Muldoon	84	25	4	29	86.2%	13.8%	\$308	\$9,406	96.7%	3.3%
Mat-Su	77	42	0	42	100.0%	0.0%	\$0	\$11,095	100.0%	0.0%
Mat-Su APA	78	13	0	13	100.0%	0.0%	\$0	\$1,250	100.0%	0.0%
<b>Central Region Total</b>		<b>166</b>	<b>16</b>	<b>182</b>	<b>91.2%</b>	<b>8.8%</b>	<b>\$2,310</b>	<b>\$39,151</b>	<b>94.1%</b>	<b>5.9%</b>
<b>Alaska Overall</b>		<b>341</b>	<b>45</b>	<b>386</b>	<b>88.34%</b>	<b>11.66%</b>	<b>\$7,264</b>	<b>\$126,633</b>	<b>94.26%</b>	<b>5.74%</b>

## Appendix II

# Synopsis of FFY06 FS Errors

Region	District	Review Number	Sample Month	Finding	Error Amount	Responsibility	Earned Income	Fraud Referral
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## Central

### 71 Central APA Unit

		<b>32359</b>	Nov-05	Over Payment	\$67	Agency	No	No
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1. Agency incorrectly coded SS DS on UNIN screen.
2. APA

		<b>32392</b>	Dec-05	Over Payment	\$88	Client	No	Yes
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1. Client reported excessive rent. She gave a false landlord to verify her \$700 rent. She actually paid \$213 rent.
2. TA

		<b>32655</b>	Jul-06	Over Payment	\$156	Agency	Yes	No
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1. Agency incorrectly disregarded earned income of eighteen year old high school graduate.
2. Agency did not include reported increase in shelter cost.
3. TA

### 83 Anchorage-Gambell

		<b>32340</b>	Oct-05	Ineligible	\$330	Agency	No	No
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1. Agency separated client and her boyfriend from boyfriend's mother's household. Client and boyfriend are under 21 living with boyfriend's mother and cannot be separate households.
2. TA

		<b>32412</b>	Dec-05	Over Payment	\$39	Agency	Yes	No
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1. Agency deducted a rental payment from the client's mortgage payment as the cost of doing business. The rental payment must be considered income.
2. No TA/APA

		<b>32442</b>	Jan-06	Under Payment	\$130	Agency	Yes	No
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1. Agency incorrectly anticipated client's earned income. Agency also miscalculated the earlier income.
2. TA

		<b>32525</b>	Mar-06	Under Payment	\$29	Agency	No	No
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1. Agency did not convert unemployment benefits.
2. The agency counted child support income in the budget. The child support paid was not received by the PI in the case.
3. Client reported rent and utility expenses. The agency CANOed no shelter expenses and did not allow the deductions.
4. No TA/APA

Region	District	Review Number	Sample Month	Finding	Error Amount	Responsibility	Earned Income	Fraud Referral	
		<b>32554</b>	Apr-06	Ineligible	\$655	Client	No	Yes	
				<ol style="list-style-type: none"> <li>1. Client failed to report a \$17,000 savings account. The household was over resources.</li> <li>2. No TA/APA</li> </ol>					
		<b>32602</b>	May-06	Ineligible	\$199	Client	Yes	Yes	
				<ol style="list-style-type: none"> <li>1. Client failed to report additional income for "Health &amp; Welfare" received. The amount is not included in his regular check.</li> <li>2. AP</li> </ol>					
		<b>32667</b>	Jul-06	Over Payment	\$65	Agency	Yes	No	
				<ol style="list-style-type: none"> <li>1. Agency CANO'ed the reported earned income but failed to enter the data into EIS.</li> <li>2. Agency failed to change code from DJ to IN. Client was disqualified for failure to meet E&amp;T requirements. Disqual ended but neglected to change coding.</li> <li>3. AP</li> </ol>					
		<b>32702</b>	Aug-06	Ineligible	\$167	Client	Yes	Yes	
				<ol style="list-style-type: none"> <li>1. Client failed to report changes in gross income that exceeded the limit.</li> <li>2. No TA/APA</li> </ol>					
		<b>32740</b>	Sep-06	Over Payment	\$77	Agency	No	No	
				<ol style="list-style-type: none"> <li>1. Agency failed to act on CITC job sanction alert.</li> <li>2. No TA/APA</li> </ol>					
<b>84</b>	<b>Anchorage-Muldoon</b>								
		<b>32407</b>	Dec-05	Over Payment	\$64	Agency	Yes	No	
				<ol style="list-style-type: none"> <li>1. Agency correctly anticipated child support income on 10/7/05. Another caseworker documented the CS income was incorrect and changed it to an incorrect amount.</li> <li>2. No TA/APA</li> </ol>					
		<b>32414</b>	Dec-05	Under Payment	\$36	Agency	Yes	No	
				<ol style="list-style-type: none"> <li>1. Agency pended the recert saying the client didn't provide verification of income. Client had provided the verification at an earlier date.</li> <li>2. TA</li> </ol>					
		<b>32634</b>	Jun-06	Over Payment	\$120	Client	No	Yes	
				<ol style="list-style-type: none"> <li>1. Client failed to report at recert a roommate was paying half the rent. She also indicated a phone expense when she had no phone.</li> <li>2. TA</li> </ol>					

Region	District	Review Number	Sample Month	Finding	Error Amount	Responsibility	Earned Income	Fraud Referral
		32670	Jul-06	Under Payment	\$88	Agency	Yes	No

1. Agency failed to act on shelter expense increase.
2. Agency miscalculated projected earnings lower than should have.
3. No TA/APA

## Coastal

### 46 Nome

32410	Dec-05	Over Payment	\$231	Agency & Client	Yes	Yes
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1. Agency failed to verify client's earned income. Client had underreported her income.
2. Client failed to report earned income from a second job.
3. Client failed to report spouse's earned income.
4. No TA/APA

32446	Jan-06	Under Payment	\$37	Agency	No	No
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1. Agency processed the application with 5 family members. Agency failed to relationship and resource information of the 6th family member who should have been added to the case.
2. No TA/APA

32460	Feb-06	Over Payment	\$178	Agency	Yes	No
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1. Agency failed to include client's COLA in the budget.
2. No TA/APA

32498	Mar-06	Over Payment	\$108	Agency	Yes	No
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1. Agency had verification in the file of client and spouse receiving monthly stipends and was counting the income when the case closed. When client re-applied, client did not report the income and the agency did not verify it.
2. No TA/APA

32612	Jun-06	Over Payment	\$55	Agency	No	No
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1. Agency incorrectly prospected UIB for the certification period.
  2. Agency allowed an electric SUD even though the client was not responsible for the electric utility.
- No TA/APA

### 47 Kotzebue

32349	Nov-05	Over Payment	\$509	Agency	Yes	No
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1. Agency certified the household for 8 months rather than 6 months as required.
2. No TA/APA

Region	District	Review Number	Sample Month	Finding	Error Amount	Responsibility	Earned Income	Fraud Referral
<b>51</b>	<b>Bethel</b>	<b>32343</b>	Oct-05	Ineligible	\$116	Agency	No	No
<ol style="list-style-type: none"> <li>1. Agency failed to client reported PFD's that put her over the gross income limit.</li> <li>2. NFA</li> </ol>								
		<b>32354</b>	Nov-05	Ineligible	\$400	Agency	Yes	No
<ol style="list-style-type: none"> <li>1. Agency miscalculated earned income for the PI. Income should not have been anticipated for the application month or the subsequent month (Jul&amp;Aug). PI returned to work and exceeded the limit in November.</li> <li>2. Case should not have been certified for more than six months.</li> <li>3. No TA/APA</li> </ol>								
		<b>32425</b>	Jan-06	Over Payment	\$49	Agency	No	No
<ol style="list-style-type: none"> <li>1. Agency failed to anticipate client's senior care payment.</li> <li>2. Agency incorrectly coded client's medical expense and "paid by the State of Alaska." It should have been coded as a "vendor payment."</li> <li>3. APA</li> </ol>								
		<b>32537</b>	Apr-06	Ineligible	\$381	Client	Yes	Yes
<ol style="list-style-type: none"> <li>1. Client failed to report exceeding the gross income limit in the sample month.</li> <li>2. No TA/APA</li> </ol>								
		<b>32538</b>	Apr-06	Over Payment	\$45	Agency	Yes	No
<ol style="list-style-type: none"> <li>1. Agency failed to count earned income of a child who turned 18 before the sample month.</li> <li>2. Agency failed to count the PI's SS RE at recertification.</li> <li>3. APA</li> </ol>								
		<b>32576</b>	May-06	Over Payment	\$206	Agency	No	No
<ol style="list-style-type: none"> <li>1. Agency tried to line FS recert with Medicaid recert. Gave 8 months of certification with no review.</li> <li>2. Agency prospected child support when there was none in the review month.</li> </ol> <p>No TA/APA</p>								
		<b>32613</b>	Jun-06	Under Payment	\$30	Agency	Yes	No
<ol style="list-style-type: none"> <li>1. Agency included rental income as "unearned" income but failed to deduct the mortgage payment.</li> <li>2. No TA/APA</li> </ol>								
		<b>32672</b>	Jul-06	Under Payment	\$82	Agency	Yes	No
<ol style="list-style-type: none"> <li>1. Agency failed to verify fishing income resulting in overstated income.</li> <li>2. Agency overstated UIB benefits that were reported to end.</li> <li>3. No TA/APA</li> </ol>								

Region	District	Review Number	Sample Month	Finding	Error Amount	Responsibility	Earned Income	Fraud Referral
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		<b>32686</b>	Aug-06	Over Payment	\$73	Agency	Yes	No
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1. Agency excluded granddaughter from case for no SSN when there was good cause not to have one.
2. Agency omitted reported shelter deduction.
3. No TA/APA

		<b>32723</b>	Sep-06	Ineligible	\$650	Client	Yes	No
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1. Client failed to report weekend pay and pay increase.
2. No TA/APA

**70 LTC, TEFRA, Waiver**

		<b>32501</b>	Mar-06	Over Payment	\$224	Agency	No	No
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1. Agency correctly documented the household composition as 5 in a CANO and then processed the recertification as a household of 6.
2. APA

		<b>32540</b>	Apr-06	Under Payment	\$54	Agency	Yes	No
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1. Agency incorrectly included SeniorCare Program income in the budget. Client last received SeniorCare in June of 2004.
2. APA

		<b>32615</b>	Jun-06	Over Payment	\$45	Agency	No	No
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1. Agency failed to include a monthly rental income paid to client when the recert was processed..
2. Agency sent a change notice indicating benefits increased because the Senior Assistance case was closed. PI stated it wasn't closed.
3. AP

**76 Kenai**

		<b>32395</b>	Dec-05	Under Payment	\$26	Agency	No	No
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1. Agency failed to follow up on change to SSI and a recoupment.
2. APA

		<b>32468</b>	Feb-06	Under Payment	\$167	Agency	No	No
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1. Agency issued client a TA supplement in January. Agency didn't remove it and February benefits included the TA benefit plus the supplement for January.
2. TA

Region	District	Review Number	Sample Month	Finding	Error Amount	Responsibility	Earned Income	Fraud Referral
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## Northern

### 41 Fairbanks NSB

<b>32321</b>	Oct-05	Over Payment	\$61	Agency	Yes	No
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1. Agency miscalculated earned income - multiplication error.
2. Agency continued to include child care expense in the budget when client stated she no longer had the expenses since her job ended.
3. No TA/APA

<b>32456</b>	Feb-06	Over Payment	\$102	Agency	No	No
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1. Agency failed to verify children's social security. The SS increases for one child and it stopped for another (turned 18).
2. No TA/APA

<b>32571</b>	May-06	Over Payment	\$42	Agency	Yes	Yes
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1. Agency incorrectly included spousal payment in child support deduction.
2. Agency missed children's SSA benefit on interfaces when client did not report it.
3. TA

<b>32716</b>	Sep-06	Ineligible	\$181	Client	Yes	No
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1. Client failed to report that he exceeded gross income limit.
2. No TA/APA

### 44 Fairbanks Rural

<b>32351</b>	Nov-05	Ineligible	\$741	Client	Yes	Yes
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1. Client failed to report her household exceeded the income limit in July of 2005.
2. No TA/APA

<b>32522</b>	Mar-06	Over Payment	\$45	Agency	No	No
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1. Agency reduced the countable child support received by \$50 as a pass-through payment. The pass-through is given on a child support payment and the household did not pay child support.
2. No TA/APA

## Southeast

### 20 Southeast APA

<b>32452</b>	Feb-06	Over Payment	\$36	Agency	No	No
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1. Agency failed to verify shelter expenses when client moved to another address.
2. APA



Region	District	Review Number	Sample Month	Finding	Error Amount	Responsibility	Earned Income	Fraud Referral
21	Juneau Family Support Unit	32491	Mar-06	Over Payment	\$80	Agency	No	No
<ol style="list-style-type: none"> <li>1. Agency failed to change client's rent to zero. Verification with collateral indicated rent would change.</li> <li>2. TA</li> </ol>								

Appendix III

Food Stamp Closure/Denial Report by Month

**Quality Assessment Sample  
Food Stamp Accuracy  
Closed/Denied Cases**

FFY 2006  
FINAL

BY MONTH	Oct-05	Nov-05	Dec-05	Jan-06	Feb-06	Mar-06	Apr-06	May-06	Jun-06	Jul-06	Aug-06	Sep-06	Total
<b>STATISTICS BY CASE:</b>													
<b>Total Sample Cases For Month</b>	73	27	21	22	21	23	21	23	26	25	26	25	333
<b>Cases Examined by QA Reviewers</b>	73	27	21	22	21	23	21	23	26	25	26	25	333
<b>Cases Not Subject to Review</b>	1	2	2	2	2	0	3	3	2	2	1	4	24
<b>Total Cases Completed</b>	72	25	19	20	19	23	18	20	24	23	25	21	309
<b>Total Correct Cases</b>	72	24	18	19	18	23	17	19	23	23	25	17	298
<b>Total Error Cases</b>	0	1	1	1	1	0	1	1	1	0	0	4	11
<b>Case Accuracy Rate (%)</b>	100.00	96.00	94.74	95.00	94.74	100.00	94.44	95.00	95.83	100.00	100.00	80.95	96.44%
<b>Case Error Rate (%)</b>	0.00	4.00	5.26	5.00	5.26	0.00	5.56	5.00	4.17	0.00	0.00	19.05	3.56%

Action Type of the Error Cases	DO #	Element Code	Nature Code
<b>Denied Cases</b>	41	163 Vol Quit	16 head hh did not vol quit
	41	371 Gross Income	0 unknown
	77	413 Application	66 Improper denial w/in 30 days for missed interview
	83	163 Vol Quit	16 head hh did not vol quit
	83	111 Student Status	1 eligible persons excluded
	84	211 Bank Acct or Cash	24 resource should have been excluded
	84	415 Verification	69 Improper denial prior to end of timeframe for verification
<b>Terminated Cases</b>	77	221 Real Property	24 resource should have been excluded
	77	311 Wages	34 income included/should not have been
	77	312 Self Employment	34 income included/should not have been
	83	372 Combined Net Income	30 does not exceed prescribed limit
<b>Suspended Cases</b>	None		

## Quality Assessment Sample Food Stamp Accuracy Closed/Denied Cases

FFY 2006  
FINAL

BY DISTRICT OFFICE	District Office Number	Correct Cases	Error Cases	Total Cases	Case Accuracy Rate	Case Error Rate
Juneau	21	8	0	8	100.0%	0.0%
Ketchikan	23	16	0	16	100.0%	0.0%
Sitka	22	3	0	3	100.0%	0.0%
Southeast APA Unit (SERO)	20	8	0	8	100.0%	0.0%
<b>Southeast Region Total</b>		<b>35</b>	<b>0</b>	<b>35</b>	<b>100.0%</b>	<b>0.0%</b>
Fairbanks NSB	41	28	2	30	93.3%	6.7%
Fairbanks Rural	44	12	0	12	100.0%	0.0%
Fairbanks APA Unit	43	6	0	6	100.0%	0.0%
<b>Northern Region Total</b>		<b>46</b>	<b>2</b>	<b>48</b>	<b>95.8%</b>	<b>4.2%</b>
Bethel	51	30	0	30	100.0%	0.0%
Coastal SSU (formerly Bethel 55)	55	2	0	2	100.0%	0.0%
Coastal Field Office	80 & 82	22	0	22	100.0%	0.0%
LTC, TEFRA, Waiver (Coastal)	70	6	0	6	100.0%	0.0%
Kenai SSU	75	0	0	0		
Kenai	76	24	0	24	100.0%	0.0%
Kotzebue	47	1	0	1	100.0%	0.0%
Nome	46	9	0	9	100.0%	0.0%
<b>Coastal Region Total</b>		<b>94</b>	<b>0</b>	<b>94</b>	<b>100.0%</b>	<b>0.0%</b>
APA Unit	71	18	0	18	100.0%	0.0%
Anchorage - Gambell	83	37	3	40	92.5%	7.5%
Anchorage - Muldoon	84	29	2	31	93.5%	6.5%
Mat-Su	77	32	4	36	88.9%	11.1%
Mat-Su APA	78	7	0	7	100.0%	0.0%
<b>Central Region Total</b>		<b>123</b>	<b>9</b>	<b>132</b>	<b>93.2%</b>	<b>6.8%</b>
<b>Alaska State Total</b>	<b>ALL</b>	<b>298</b>	<b>11</b>	<b>309</b>	<b>96.4%</b>	<b>3.6%</b>